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Developing an evidence-based, preventive care package for persons with HIV in Africa

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Summary Currently, 95% of the 40 million persons with HIV live in low and middle income countries; 27 million in sub-Saharan Africa. HIV/AIDS is a leading cause of death in the region, yet access to care and treatment considered standard-of-care in the industrialized world is extremely limited. There is a need for standardized, evidence-based recommendations on preventive measures. We developed a list of potential interventions based, when possible, on documented efficacy in reducing morbidity or mortality among persons with HIV in Africa. We considered the accessibility, affordability, and potential for implementation using existing health care infrastructure. Potential components included cotrimoxazole prophylaxis, safe drinking water, isoniazid prophylaxis, insecticide-treated bed nets, micronutrients, and provision of HIV counseling and testing and condoms to family members of persons with HIV. There are several additional interventions for which further evaluation would be useful before inclusion in a standard package of care, including acyclovir prophylaxis, food supplementation, hand washing, and fluconazole prophylaxis. The provision of a basic care package could be an important step toward reducing health care disparities and gaining more control of the global HIV/AIDS epidemic.

keywords HIV, opportunistic infections, Africa, care, prevention, voluntary counselling and testing

Introduction

Currently, 95% of the 40 million persons with HIV live in low and middle income countries (UNAIDS 2004b); 27 million in sub-Saharan Africa (UNAIDS 2004a). HIV/ AIDS is a leading cause of death in the region, yet access to care and treatment considered standard-of-care in the industrialized world is extremely limited (Grubb *et al.* 2003). Whereas considerable attention has been given to increasing the availability of antiretroviral (ARV) therapy, few advocates have focused on more easily accessed interventions for preventing opportunistic infections (Grant *et al.* 2001).

A 'basic preventive care package' specifically designed for persons with HIV in Africa would be useful for several reasons: (1) some of the infections discussed in current USbased guidelines (Kaplan *et al.* 2002), such as *Mycobacterium avium* complex are rare in Africa and the beneficial effect of prophylaxis for persons with HIV in the region has never been evaluated (Holmes *et al.* 2003); (2) certain illnesses not discussed in current recommendations, such as malaria, are common in sub-Saharan Africa; (3) interventions, such as provision of clean drinking water, are regularly provided by governmental services in the industrialized world, but less available in Africa; and (4) some medications for prophylaxis have limited availability in Africa. There is a need for standardized, evidence-based recommendations on preventive measures that can be used by health practitioners, Ministries of Health, and nongovernmental organizations. Although data presented in this paper focus on Africa, many recommended interventions would also be useful in other areas of the world. If applied widely, a basic care package would allow for provision of effective care to millions of persons with HIV who currently have limited or no access to HIV-related services.

We developed a list of potential interventions based, when possible, on documented efficacy in reducing morbidity or mortality among persons with HIV in Africa. We considered the accessibility, affordability, and potential for implementation using existing health care infrastructure (Table 1). In addition, we assessed the evidence of

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	Impact		
Intervention	Individual with HIV	Household	Comments
Cotrimoxazole prophylaxis	 Reduction in mortality, malaria, diarrhoea, clinic visits, hospitalizations (Anglaret <i>et al.</i> 1999; Badri <i>et al.</i> 1999; Castetbon <i>et al.</i> 2001; Wiktor <i>et al.</i> 2002; Mermin <i>et al.</i> 2004) Possible beneficial effect on viral load and CD4 cell count (Mermin <i>et al.</i> 2004) 	 Reduction in diarrhea, malaria, and mortality in children (Mermin <i>et al.</i> 2005) 	 Reduction in morbidity for wide range of CD4 cell counts (Anglaret et al. 1999; Wiktor et al. 1999; Mermin et al. 2005a) Low rate of adverse events in Africa (Wiktor et al. 2001; Castetbon et al. 2001; Zachariah et al. 2002; Mermin et al. 2004)
Safe drinking water	• Reduction in diarrhoea (Lule et al. 2004)	Reduction in diarrhoea among HIV-negative children (Lule <i>et al.</i> 2004)	Efficacy data based of the efficacy data based disinfection with chlorine and a blastic water vessel with spirot blastic water vessel water vessel with spirot blastic water vessel wate
Isoniazid prophylaxis	 Reduction in incidence of TB (Grant et al. 2001) Possible reduction in mortality (Grant et al. 2001) 	• Theoretical benefit of reduced TB transmission	 Questionnaire and physical exam may be adequate to screen out persons with active TB (Mosimaneotsile <i>et al.</i> 2003) Need to have referral system for persons with possible active TB
Insecticide-treated bed nets	 Not assessed, but theoretically same efficacy as documented for persons without HIV 	 Reductions in malaria and all cause mortality among children (Lengeler 2004) 	 Long-lasting insecticide-treated bed nets available that reduce the need for retreatment
Micronutrients and vitamin A	 Reduction in morbidity, mortality, and disease progression in adults (Villamor <i>et al.</i> 2002b; Jiamton <i>et al.</i> 2003; Fawzi <i>et al.</i> 2004) Possible beneficial effect on CD4 cell count and HIV viral load (Villamor <i>et al.</i> 2002b; Fawzi <i>et al.</i> 2004) Vitamin A reduces morbidity and mortality, and improves growth among children with HIV/AIDS (Coutsoudis <i>et al.</i> 1995; Fawzi <i>et al.</i> 2002a) 	 Micronutrient supplementation for pregnant or lactating women improves infant outcomes and may reduce rate of mother-to-child transmission of HIV (Coutsoudis <i>et al.</i> 1999; Fawzi <i>et al.</i> 2002; Kumwenda <i>et al.</i> 2002) 	 One study suggests that regimens containing high levels of vitamin A may not be as beneficial for pregnant women as vitamin B complex, vitamin C, and vitamin E alone (Fawzi et al. 2002; Villamor et al. 2002b; Fawzi et al. 2004)
Family HIV counselling and testing	 Psychological benefits of HIV-status disclosure (Hays et al. 1993; Armistead et al. 1999; Maman et al. 2003) Reduction in HIV transmission (Kamenga et al. 1991; Allen et al. 1992, 2003; Allen et al. 1992a; The Voluntary HIV-1 Counseling and Testing Efficacy Study Gronn 2000) 	• High proportion of adults and children in family have undiagnosed HIV and benefit from care and prevention efforts (Kabaresi <i>et al.</i> 2002; Lurie <i>et al.</i> 2003)	• High uptake with home-based VCT (Mermin et al. 2004)

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beneficial effects of using specific interventions, e.g. insecticide-treated bed nets or safe drinking water systems, on household members living with persons with HIV.

Potential interventions were separated into two categories: those for which randomized controlled trials in Africa have indicated effectiveness and cost and feasibility support relatively easy implementation, e.g. cotrimoxazole prophylaxis (Table 1), and interventions for which efficacy in Africa has not been assessed, e.g. food supplementation and fluconazole prophylaxis, or target groups for implementation have not been established and studies among persons with HIV have not been conducted, e.g. hand washing with soap. Most of the interventions are relatively inexpensive, but some require routine follow-up to ensure appropriate use and monitoring for adverse effects.

We did not consider the sometimes complex issues associated with implementing systems for providing these interventions in diverse situations. Nor did we address the question of why these interventions, some of which have had evidence of efficacy for several years, have not been widely implemented. Part of the reason may be that preventive interventions, such as daily prophylactic medication, often do not provide noticeable improvements in health or well-being for people taking them and therefore require education of patients and focused public health programs. Another may be the lack of formal policy for several of the interventions by international health organizations, such as the World Health Organization.

Potential components of a basic care package well-evaluated in Africa

Cotrimoxazole prophylaxis

Cotrimoxazole prophylaxis (160 mg trimethoprim/800 mg sulphamethoxazole for adults and equivalent dose per kg for children) is recommended for and widely used by persons with AIDS in Europe and the USA (Kaplan et al. 2002), but rarely used in Africa (Brou et al. 2003). A conference convened by the Joint United Nations Programme on HIV/AIDS (UNAIDS) and the World Health Organization (WHO) in 2000 provisionally recommended the use of cotrimoxazole prophylaxis for children and symptomatic adults with HIV in Africa (WHO, UNAIDS 2000), based primarily on two randomized controlled trials from Ivory Coast (Anglaret et al. 1999; Wiktor et al. 1999) and a cohort analysis from South Africa (Badri et al. 1999). There has been increasing evidence of effectiveness, even in areas with high bacterial resistance to cotrimoxazole (Badri et al. 2001; Castetbon et al. 2001; Zachariah et al. 2002; Mermin et al. 2004). Daily cotrimoxazole prophylaxis has been associated with 25-46% less

mortality (Wiktor et al. 1999; Badri et al. 2001; Zachariah et al. 2002; Mermin et al. 2004) and reductions in malaria, diarrhoea, and hospitalization (Wiktor et al. 1999; Zachariah et al. 2002; Mermin et al. 2004) among persons with HIV both with and without TB. Cotrimoxazole prophylaxis by persons with HIV may also have beneficial effects on the rates of malaria, diarrhoea, and mortality among HIV-negative household members <10 years old (Mermin et al. 2005b). There are indications that cotrimoxazole prophylaxis might benefit even those persons with HIV with high CD4 cell counts (Anglaret et al. 1999; Mermin et al. 2004, 2005a). Adverse reactions are rare in Africa (Wiktor et al. 1999; Zachariah et al. 2002). The cost of the medication is low; generally less than US\$15 per year, and the cost per life year saved is US\$160 (Yazdanpanah et al. 2004).

Safe drinking water

In Africa, diarrhoea is four times more common among children with HIV and seven times more common among adults with HIV than their HIV-negative household members (Mermin et al. 2004). Bacterial contamination of drinking water is common (Quick et al. 2002). A randomized controlled trial of the provision of a plastic water vessel with a spigot and a supply of dilute chlorine solution for water purification was associated with a reduction in microbial contamination of household water and less diarrhoea and dysentery among persons with HIV (Lule et al. 2004, 2005). There was a similar effect among HIVnegative children in the same household. This type of drinking water intervention has been effective in reducing sporadic (Quick et al. 2002) and epidemic (Reller et al. 2001) diarrhoea among persons without HIV, and, at less than US\$10 per year, is considered the least expensive method for providing safe drinking water (WHO 2004).

Isoniazid prophylaxis

Tuberculosis (TB) is the leading cause of severe morbidity and mortality among persons with HIV in Africa (Holmes *et al.* 2003) where a substantial proportion of persons have latent TB. It is estimated that 5–10% of persons with HIV and TB co-infection will develop active TB per year (Holmes *et al.* 2003). Although the priority for TB programs is to find and treat all cases of TB disease, daily isoniazid (INH) prophylaxis for 6–9 months or longer has been recommended for persons with HIV. In randomized clinical trials in Africa, INH prophylaxis has been associated with a substantial reduction in the incidence of TB, and possible reductions in mortality (Grant *et al.* 2001). The effect has almost

exclusively been observed among persons with HIV who have a positive tuberculin skin test (Grant et al. 2001). To avoid treating persons who have active TB with monotherapy it is important to screen for symptoms of active disease for which a simple questionnaire and physical exam may be adequate (Mosimaneotsile et al. 2003), although some evidence supports increased sensitivity with the use of chest radiography (Hawken et al. 2001). Screening should be conducted before initiating INH prophylaxis. Persons with potential symptoms of active TB should be referred for further evaluation and treatment. Persons who report no symptoms and have a normal exam can be given a tuberculin skin test, and if positive, receive isoniazid (INH) prophylaxis. If skin testing is not available in areas where the prevalence of latent TB infection is >30%, all persons without active TB can be offered prophylaxis. It is still effective in this situation, although a greater number of persons need to be treated per case of TB prevented. The per person program cost of screening and providing a course of isoniazid prophylaxis is US\$145 (Lugada et al. 2002). Incorporating medical costs saved from preventing TB, the per person cost of treatment decreases to US\$13, and the cost per quality-adjusted life-year gained is US\$114 (Bell et al. 1999). Including social costs, INH prophylaxis saves US\$13 per person treated (Bell et al. 1999).

Insecticide-treated bed nets

Malaria is twice as common, and parasitemia higher for adults and children with HIV than persons without HIV (Whitworth et al. 2000; Mermin et al. 2004). Severe complications from malaria, including death, are probably more common among persons with HIV, at least in areas with episodic transmission (Grimwade et al. 2004). There have been no studies of the effect of insecticide-treated bed nets on the incidence of malaria among persons with HIV; however, randomized trials of bed nets have shown a 50% reduction in malaria and a 17% reduction in all cause mortality among children (Lengeler 2004). Theoretically, bed nets should be as effective among persons with HIV as they are for those without, and ethical considerations may preclude the implementation of randomized controlled trials. Bed nets cost about US\$5 each, and generally two are provided per household. Among children, the cost per life-year gained is US\$25 (Wiseman et al. 2003).

Micronutrients and vitamin A

Several studies have shown that persons with HIV have micronutrient deficiencies, and a few have evaluated the effect of micronutrient supplementation among persons

with HIV. In a randomized trial of pregnant women, multivitamins containing vitamins B, C, and E, but not vitamin A, were associated with reduced maternal and infant mortality, lower rates of mother-to-child transmission of HIV, greater birth weight, and short- and long-term beneficial effects on CD4 cell count and viral load (Fawzi et al. 2002; Villamor et al. 2002b; Fawzi et al. 2004). Vitamin A supplementation alone of pregnant women appears to reduce pre-term deliveries and increase birth weight and haemoglobin among infants, but has had mixed effects on rates of mother-to-child transmission (Coutsoudis et al. 1999; Fawzi et al. 2002; Kumwenda et al. 2002). A small study of vitamin A supplementation among men and women showed no effect on viral load or CD4 cell count (Semba et al. 1998). One randomized trial among men and women with HIV in Thailand found a multivitamin and mineral supplement containing vitamin A was associated with reduced mortality (Jiamton et al. 2003).

Children with HIV receive substantial morbidity, mortality, and growth benefits from vitamin A supplementation (Coutsoudis *et al.* 1995; Fawzi *et al.* 1999; Villamor *et al.* 2002a). Costs for a year supply of multivitamins is generally <US\$5. Given available information, it is reasonable to consider daily micronutrient supplementation among adults and children with HIV, but close monitoring, particularly among pregnant women would be beneficial. Vitamin A should be given to all children with HIV.

Provision of HIV counselling and testing to family members

Providing HIV counselling and testing to family members of HIV-positive clients can be an effective method for facilitating HIV disclosure among couples and family members, for identifying and preventing new HIV infections within the family, and linking previously undiagnosed family members with care and treatment. HIV-disclosure has been shown to increase support to infected persons and to reduce negative psychological outcomes (Maman et al. 2003), including depression (Hays et al. 1993; Armistead et al. 1999). Although limited data are available, disclosing status and providing testing to family members may also improve adherence to medication and enhance support systems. While providing family counselling and testing may have positive effects for the initial person with HIV, substantial benefit primarily accrues to family members. For example, a substantial proportion of family members, especially spouses and children under 5 years old, of persons with HIV are infected (Kabatesi et al. 2002; Lurie et al. 2003). About 2.5 million children in Africa have HIV

(UNAIDS 2004b) and without effective care almost half will die before their third birthday (Spira *et al.* 1999). Yet, testing of children is rarely conducted.

Providing couples with HIV testing and counselling decreases high risk sexual behaviour and HIV transmission (Allen et al. 1992a; The Voluntary HIV-1 Counseling and Testing Efficacy Study Group 2000), particularly among HIV-discordant couples (Kamenga et al. 1991; Allen et al. 1992, 2003). Many persons with HIV believe that their partners are already infected and therefore do not avoid high-risk practices; however, more than 30% of HIV positive patients in Africa have HIV-negative spouses (Allen et al. 1992b; Kilewo et al. 2001; Trask et al. 2002; Lurie et al. 2003). Counselling for HIVinfected persons and their partners can include information regarding HIV-discordance, abstinence, safer sex, and the consistent use of condoms. The basic care package should include the provision of condoms, as their use has been associated with an 80% reduction in HIV transmission (Weller & Davis 2001). Reduction of HIV transmission within HIV-discordant couples should also help control the spread of ARV-resistant virus from persons taking ARVs.

Although facility-based prevention of mother-to-childtransmission programmes have had limited success with partner testing – generally less than 10% (Kilewo *et al.* 2001) – over 95% of family members of men and women with HIV accepted testing when it was offered in their homes (Mermin *et al.* 2004). Household members who are HIV-positive should also be offered basic care and ARV therapy if clinically eligible.

Discussion

In the USA, morbidity and mortality from HIV/AIDS was first reduced with the introduction of prophylaxis against opportunistic infections, and the development of widely used guidelines (Kaplan *et al.* 2002). Prophylaxis is beneficial even among persons receiving ARV therapy; in a cohort of 20 000 persons with HIV, a 21% reduction in mortality was noted with the use of prophylaxis against *Pneumocystis jiroveci* (formerly *Pneumocystis carinii*) pneumonia, and a 24% reduction in mortality with the use of prophylaxis against *Mycobacterium avium* complex, among a sub-group of patients receiving ARVs (McNaghten *et al.* 1999). To optimize care and treatment for persons with HIV in Africa a similar two-pronged approach of ARV therapy and prophylaxis against opportunistic illness is necessary.

There are advantages to providing interventions that are easily implemented and for which people with HIV can take responsibility, such as daily prophylaxis. The proposed basic care package focuses on preventing illness rather than on diagnosis and treatment because curative services are difficult to access for persons living in many parts of Africa (Peterson *et al.* 2004) and other resourcelimited settings. Individuals often live far from clinics and, in the case TB for example, distance from a health centre has been associated with increased risk of mortality (Barker *et al.* 2002), probably because of a lack of transportation to maintain necessary appointments. Due to the focus on preventing illness, several interventions with proven benefits for persons with HIV, such as treatment for active TB or sexually transmitted diseases were not included. These important services require more extensive health care infrastructure and are of a curative, rather than preventive nature.

National programs and international initiatives, such as the Global Fund for AIDS, TB, and Malaria, the World Bank, and the US President's Emergency Plan for AIDS Relief are providing increased HIV/AIDS funding for programs in many parts of the world. Hospitals, clinics, and HIV/AIDS programmes that previously focused on either curative services or prevention messages are now interested in expanding services to include comprehensive care and prevention. ARV therapy is the most effective approach to reducing morbidity and mortality among persons with HIV/AIDS living in Africa and elsewhere. However, the expense of ARVs, the current standard for monitoring of CD4 cell counts and viral loads, and limited infrastructure in many countries, makes ARV therapy challenging to implement. Developing a basic care package that could be easily and quickly introduced, especially in rural areas with limited infrastructure, could not only increase access to effective HIV/AIDS care, but also could lay the groundwork for ARV therapy. Taking daily prophylaxis and using other preventive interventions has the additional benefit of providing a foundation for ARV implementation, both on an individual basis with regard to adherence and HIV disclosure to family members, and on a health system level in relation to establishing reliable drug and commodity distribution mechanisms. A basic care package could benefit persons taking ARVs, persons not yet eligible for ARVs because of high CD4 cell counts and lack of clinical symptoms, and persons who cannot access ARVs.

Two of the interventions included in the Table, multivitamin supplementation and cotrimoxazole prophylaxis, may have beneficial effects on CD4 cell count and HIV viral load, potentially through lessening the production of cytokines and tumour necrosis factor (Kaplan *et al.* 1999; Mermin *et al.* 2004), and reduction in oxidative stress (Fawzi *et al.* 2004). These data suggest that components of a basic care package might prolong the time period

between HIV infection and the development of AIDS, and consequently delay the need for ARV therapy.

Often, primary or secondary prophylaxis is not recommended for persons whose CD4 cell counts have risen above predetermined levels (Kaplan *et al.* 2002) because chemoprophylaxis may have adverse side effects and increase health care costs. However, some interventions, such as cotrimoxazole prophylaxis (Anglaret *et al.* 1999; Mermin *et al.* 2004) and multivitamins (Villamor *et al.* 2002b; Fawzi *et al.* 2004), may benefit persons with HIV in Africa even when they have high CD4 cell counts. The question of whether to continue preventive interventions among persons in Africa taking ARVs with CD4 cell counts above specified levels is not resolved, and would be a fruitful area for research.

There are several additional interventions for which further evaluation might be useful before inclusion in a standard package of care, including acyclovir prophylaxis, food supplementation, hand washing, and fluconazole prophylaxis. HSV-2 upregulates HIV replication in vitro, and clinical and subclinical outbreaks have been shown to increase HIV viral load in dually infected persons (Mole et al. 1997; Schacker et al. 2002). The use of acyclovir has been shown to prevent this increase in viral load. A metaanalysis of eight randomized controlled trials of the use of daily acyclovir prophylaxis among persons with HIV showed a 22% reduction in mortality as well as beneficial effects on outbreaks of varicella zoster and herpes simplex virus (Ioannidis et al. 1998). These studies were conducted before the development of effective combination ARVs. It would be useful to repeat similar studies among persons with HIV in Africa, where HSV-2 infection is more common, and also evaluate potential effects among persons on ARVs and persons who have CD4 cell counts above the initiation threshold for ARV therapy.

Persons with HIV have increased energy demands, intermittent malabsorption, and decreased caloric intake (Gibert et al. 1999). Loss of body cell mass among persons with HIV is associated with increased risk of morbidity and mortality (Gibert et al. 1999). The causal relationship between weight loss and disease progression is not well established. Several small studies in industrialized countries have examined the effect of food supplements on weight gain, HIV viral load, and CD4 cell count (Pichard et al. 1998; Gibert et al. 1999; de Luis Roman et al. 2001). The results have been mixed, with either no effect (Rabeneck et al. 1998; Gibert et al. 1999; Keithley et al. 2002) or small improvements in weight (Pichard et al. 1998) or immunologic markers (Pichard et al. 1998; de Luis Roman et al. 2001). Nutritional counselling alone had no impact (Chlebowski et al. 1995; Rabeneck et al. 1998). No studies have been conducted in Africa where chronic food shortages and malnutrition are more common. It is reasonable to conclude that lack of access to an adequate food supply would harm persons with HIV at least as much as persons without HIV. However, more research would be useful to determine the benefits of generalized food supplementation for all persons with HIV in Africa. The provision of limited food support may be of particular benefit for patients receiving certain ARVs because of dietary restrictions and gastrointestinal side effects.

Africans often have reduced access to basic methods for improving hygiene and sanitation. One study showed an association between the presence of soap in the home and reduced incidence of diarrhoea among persons with HIV; however, the study was not randomized on this intervention (Lule et al. 2004). A randomized controlled study in Pakistan showed that supplying households with soap and education on hand washing reduced diarrhoea among children by 50% (Luby et al. 2004), and a study of hand washing education alone in Zaire reduced diarrhoea among children by 11% (Haggerty et al. 1994), but the HIV status of participants was unknown and the effect on adults was not measured. There are no data on the efficacy of hand washing education with or without provision of soap in preventing illness among persons with HIV.

Cryptococcal meningitis and invasive candidal disease are common among persons with AIDS in Africa, and also are a leading cause of death (French et al. 2002; Holmes et al. 2003). A randomized controlled trial (Powderly et al. 1995) and several cohort studies (Nightingale et al. 1992; Newton et al. 1995) in the USA, and two small randomized controlled trials in Thailand (Chariyalertsak et al. 2002; Chetchotisakd et al. 2004), indicate potential efficacy for azoles in preventing cryptococcal meningitis. One study showed potential reductions in mortality (Chariyalertsak et al. 2002; Chetchotisakd et al. 2004). The lack of a strongly documented mortality effect, expense of the drugs, and potential toxicity led to a lack of recommendation for primary prophylaxis (Kaplan et al. 2002). However, the company that originally produced fluconazole currently provides the drug free-of-charge for treatment of severe disease in Africa, and the US patent expired in January 2004, making fluconazole available at considerably lower cost. In Thailand, primary prophylaxis with azoles is national policy (Chetchotisakd et al. 2004). Because the illness appears to be more common in Africa than the USA, it would be useful to conduct more studies of primary fluconazole prophylaxis among persons with HIV in Africa to assess its effectiveness, cost and potential utility in a basic care package. A randomized controlled trial of primary fluconazole prophylaxis is currently underway in Uganda.

The components of a basic care package for persons with HIV/AIDS in Africa will vary by country and will be influenced by available resources. The contents could be modified to meet the unique conditions found in other regions of the world. Our hope is that a consensus meeting will be held to gather more information and develop guidelines using a standardized rating system regarding the quality of available evidence and the strength of recommendations (Kaplan *et al.* 2002).

Conclusion

Similar to people in the industrialized world, persons with HIV/AIDS in Africa should receive effective, evidence-based health care (Buekens *et al.* 2004). These interventions should be tailored to meet the specific needs of the region and include a broad package of care and prevention services in addition to ARV medications. The provision of a basic care package could be an important step toward reducing health care disparities and gaining more control of the global HIV/AIDS epidemic.

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